



Docket No.: 016762.0227-US00
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Calvin H. Fei, et al.

Application No.: 09/925,972-4411

Group Art Unit: 2673

Filed: August 10, 2001

Examiner: Not Yet Assigned

For: METHOD AND APPARATUS FOR
CONDUCTING TRANSACTIONS ON AN
AUTOMATED TELLER MACHINE

SUBMISSION OF FORMAL DRAWINGS

Commissioner for Patents
Washington, DC 20231

Dear Sir:

Submitted herewith are forty-two sheets (Figs. 1-7, 8A-8D and 9-38) of formal drawings for filing in the above-identified Patent application. Kindly substitute the enclosed formal drawings for the informal drawings submitted with the originally filed application.

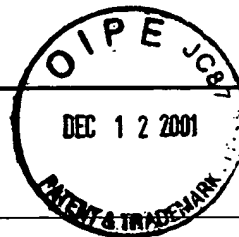
Dated: December 12, 2001

Respectfully submitted,

By 
Grady L. White

Registration No.: 40,874
COVINGTON & BURLING
1201 Pennsylvania Avenue, N.W.
Washington, DC 20004-2401
(202) 662-6000

STATEMENT UNDER 37 CFR 3.73(b)



Applicant/Patent Owner: Calvin H. Fei, et al.

Application No./Patent No.: 09/925,972

Filed/Issue Date: August 10, 2001

Entitled: METHOD AND APPARATUS FOR CONDUCTING TRANSACTIONS ON AN AUTOMATED TELLER MACHINE

Bank of America Corporation
(Name of Assignee)

, a

Corporation

Type of Assignee, e.g., corporation, partnership, university, government agency, etc.

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.

The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

11/2/01
Date

Michael A. Springs

Typed or printed name

Michael A. Springs
Signature

Assistant General Counsel

Title



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For: METHOD AND APPARATUS FOR
CONDUCTING TRANSACTIONS ON AN
AUTOMATED TELLER MACHINE

TRANSMITTAL LETTER

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced
Patent Application:

1. Fee Transmittal;
2. Petition for Extension of Time under 37 CFR 1.136(a) (in duplicate);
3. Response to the Notice to File Missing Parts of Application (in duplicate);
4. Copy of the Notice to File Missing Parts of Nonprovisional Application;
5. Declaration for Utility Patent Application;
6. Power of Attorney;
7. Statement under 37 CFR 3.73(b) with a copy of the Assignment;
8. Proof of Signature Authority;

9. Submission of Formal Drawings;
10. 42 sheets of Formal Drawings (Figs. 1-7, 8A-8D and 9-38);
11. Check No. 288830 for \$1,742.00 to cover:
 - \$740 basic filing fee;
 - \$762 additional claims fee;
 - \$130 surcharge for late filing of the Declaration;
 - \$110 extension of time fee for one month extension of time; and
12. Return receipt postcard.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Order No. 016762.0227-US00. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 50-0740, referencing Docket No. 016762.0227-US00. A duplicate copy of this paper is enclosed.

Dated: December 12, 2001

Respectfully submitted,

By


Grady L. White

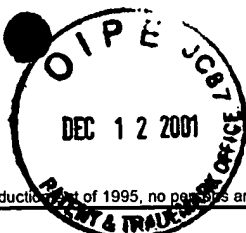
Registration No.: 40,874

COVINGTON & BURLING

1201 Pennsylvania Avenue, N.W.

Washington, DC 20004-2401

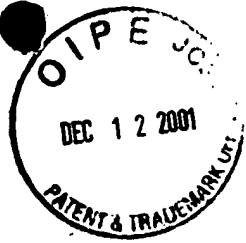
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PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002		Complete if Known	
Patent fees are subject to annual revision.		Application Number	09/925,972-4411
		Filing Date	August 10, 2001
		First Named Inventor	Calvin H. Fei
		Examiner Name	Not Yet Assigned
		Group Art Unit	2673
TOTAL AMOUNT OF PAYMENT (\$)		1,742.00	Attorney Docket No. 016762.0227-US00
METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number 50-0740 Deposit Account Name <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES	
2. <input checked="" type="checkbox"/> Payment Enclosed <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		Large Entity Small Entity	
FEE CALCULATION		Fee Code Fee (\$) Fee Code Fee (\$) Fee Description Fee Paid	
1. BASIC FILING FEE		105 130 205 65 Surcharge - late filing fee or oath 130.00	
Large Entity Small Entity		127 50 227 25 Surcharge - late provisional filing fee or cover sheet.	
Fee Code Fee (\$) Fee Code Fee (\$) Fee Description Fee Paid		139 130 139 130 Non-English specification	
101 740 201 370 Utility filing fee 740.00		147 2,520 147 2,520 For filing a request for ex parte reexamination	
106 330 206 165 Design filing fee		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
107 510 207 255 Plant filing fee		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
108 740 208 370 Reissue filing fee		115 110 215 55 Extension for reply within first month 110.00	
114 160 214 80 Provisional filing fee		116 400 216 200 Extension for reply within second month	
SUBTOTAL (1) (\$) 740.00		117 920 217 460 Extension for reply within third month	
2. EXTRA CLAIM FEES		118 1,440 218 720 Extension for reply within fourth month	
Total Claims 39 -20** = 19 x 18.00 = 342.00		128 1,960 228 980 Extension for reply within fifth month	
Independent Claims 8 -3** = 5 x 84.00 = 420.00		119 320 219 160 Notice of Appeal	
Multiple Dependent		120 320 220 160 Filing a brief in support of an appeal	
Large Entity Small Entity		121 280 221 140 Request for oral hearing	
Fee Code Fee (\$) Fee Code Fee (\$) Fee Description Fee Paid		138 1,510 138 1,510 Petition to institute a public use proceeding	
103 18 203 9 Claims in excess of 20		140 110 240 55 Petition to revive - unavoidable	
102 84 202 42 Independent claims in excess of 3		141 1,280 241 640 Petition to revive - unintentional	
104 280 204 140 Multiple dependent claim, if not paid		142 1,280 242 640 Utility issue fee (or reissue)	
109 84 209 42 ** Reissue independent claims over original patent		143 460 243 230 Design issue fee	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		144 620 244 310 Plant issue fee	
SUBTOTAL (2) (\$) 762.00		122 130 122 130 Petitions to the Commissioner	
**or number previously paid, if greater; For Reissues, see above		123 50 123 50 Processing fee under 37 CFR 1.17(q)	
		126 180 126 180 Submission of Information Disclosure Stmt	
		581 40 581 40 Recording each patent assignment per property (times number of properties)	
		146 740 246 370 Filing a submission after final rejection (37 CFR 1.129(a))	
		149 740 249 370 For each additional invention to be examined (37CFR 1.129(b))	
		179 740 279 370 Request for Continued Examination (RCE)	
		169 900 169 900 Request for expedited examination of a design application	
		Other fee (specify)	
		*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 240.00	
SUBMITTED BY		Complete (if applicable)	
Name (print/type) Grady L. White		Registration No. 40,874	
Signature Grady L. White		Telephone (202) 662-6000	
		Date December 12, 2001	



3

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RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted
mailed September 17, 2001, Applicants respectfully submit:

1. Fee Transmittal;
2. Petition for Extension of Time under 37 CFR 1.136(a) (in duplicate);
3. Copy of a Notice to File Missing Parts of Nonprovisional Application;
4. Declaration for Utility Patent Application;
5. Power of Attorney;
6. Statement under 37 CFR 3.73(b) with a copy of the Assignment;
7. Proof of Signature Authority;
8. Submission of Formal Drawings;

9. 42 Sheets of Formal Drawings (Figs. 1-7, 8A-8D and 9-38);
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The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Docket No. 016762.0227-US00. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 50-0740, referencing Docket No. 016762.0227-US00. A duplicate copy of this paper is enclosed.

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